

11098

2812/13

PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number	10/038,352
	Filing Date	01/02/2002
	First Named Inventor	Steiner et al.
	Art Unit	2812
	Examiner Name	H.T. Nguyen
Total Number of Pages in This Submission	Attorney Docket Number	Gibson 8-5-20-23-17/242

Total Number of Pages in This Submission

Attorney Docket Number

Gibson 8-5-20-23-17/242

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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Remarks		

Remarks

## 1. Postcard

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James H. Beusse Beusse Brownlee Bowdoin & Wolter, P.A.
Signature	
Date	September 4, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Shirley Goff	
Signature		Date 9/4/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Steiner et al.** Group Art Unit: **2812**  
Serial No. **10/038,352** Examiner: **H.T. Nguyen**  
Filed: **01/02/2002**

For: **Structure And Method For Isolating Porous Low-K Dielectric Films**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

- 1. Pursuant to 37 CFR 1.97(b)  
(within 3 months of filing or prior to 1st Office Action)
- 2. Certification Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
(before Final Office Action or Allowance)
- 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
(before issue fee payment)

Mail Stop Patent Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- 5. A list of documents on form PTO-1449 is enclosed herewith.

This paper is submitted in accordance with:

- 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7. 37 CFR 1.97(c): [before Final office Action or Allowance, whichever is earlier; and]
- 8. The required certification made in item 11 below; or
- 9. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement.

10. 37 CFR §1.95(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and, if applicable, include batch locator information: e.g., "Allowed Files, Batch \_\_\_, Date of Allowance \_\_\_"), and

b) The required Certification is stated in item 11 below.

11. Certification

12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;

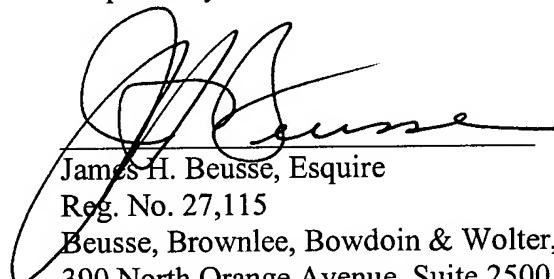
or

13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

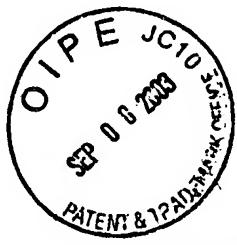
14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



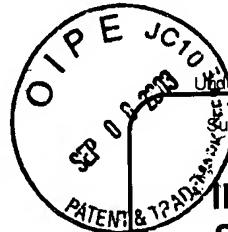
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CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Information Disclosure Statement is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 4<sup>th</sup> day of September, 2003.

  
Shirley Goff



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PTO/SB/08A (08-03)

Institute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 1 of 1

Complete if Known	
Application Number	10/038,352
Filing Date	01/02/2002
First Named Inventor	Steiner, Kurt G.
Art Unit	2812
Examiner Name	H.T. Nguyen
Attorney Docket Number	Gibson 8-5-20-23-17

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	5	EP 0975 010 A1	1/26/2000	Siemens Aktiengesellsc		
	6	WO 02/052642 A2	7/4/2002	Honeywell Int'l		

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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